Financial Planning Worksheet

Date: ____________

Client Name: ____________ Birthday: ____________ Target Retirement Age: ________

Client Name: ____________ Birthday: ____________ Target Retirement Age: ________

How much time do you have to dedicate to monitoring your plan's progress?

☐ One hour/month ☐ Several hours/month ☐ A few hours/quarter ☐ A few hours/year ☐ Unsure

Do you have a formal investment strategy?

☐ Yes ☐ No

If yes, how would you summarize your investment strategy?

____

____

____

If yes, how would you describe your investment results?

☐ Above Average ☐ Average ☐ Below Average ☐ Unsure

SHORT TERM GOALS: WITHIN THE NEXT 2 YEARS

Standard of Living Spending

☐ Decrease ☐ Maintain ☐ Increase

Extraordinary Spending Items	Approximate Value

LONG TERM GOALS

Standard of Living Spending

☐ Decrease ☐ Maintain ☐ Increase

Extraordinary Spending Items	Approximate Value

Financial Symmetry Inc. / 1511 Sunday Drive, Suite 300, Raleigh, NC 27607 / **phone** (919) 851-8200 / **fax** (888) 494-7878 / www.FinancialSymmetry.com

Financial Planning: What to Bring

CHECKLIST OF HELPFUL DOCUMENTS: We prefer actual statements rather than prepared summaries wherever possible. You may send us your documents a few different ways: **drop them off** at our office, **upload** to our ShareFile portal, or via **postal mail**.

☐ Latest statements of **ASSET** accounts including:

Investments

- 401(k)s, 403(b)s, IRAs (Roth, SEP, Rollover, SIMPLE)
- Annuities
- Brokerage accounts
- Mutual Fund accounts
- Bank accounts (checking, savings, CDs)
- Health Savings accounts (HSA)
- Education Savings (529, UTMA, Coverdell, ESAs)

Real Estate

- Primary residence estate value
- Secondary residence
- Business interests

☐ Latest statements of **DEBT** accounts, including:

- Credit cards
- Lines of credit (including home equity, even if no current balance)
- Mortgage
- Car loans
- Student loans
- Other debt

☐ Latest **EXPENSE** data

- Mint, Quicken reports or personal spreadsheet
- FSI's Expense worksheet

☐ Latest **INCOME** documents

- Most recent and previous year-end paystubs
- Tax Returns (Form 1040) from last two to three years
- Social Security statement or estimate
- Pension statement or estimate

☐ Latest **ESTATE** documents

- Wills
- Power of Attorneys
- Trust agreements
- Primary and contingent beneficiaries on retirement accounts

☐ Latest **INSURANCE** documents

- Health
- Long term care
- Disability
- Life (term, whole, etc.)

Financial Symmetry Inc. / 1511 Sunday Drive, Suite 300, Raleigh, NC 27607 / **phone** (919) 851-8200 / **fax** (888) 494-7878 / www.FinancialSymmetry.com